

Statement of Economic Interests

Filed in 2014 for calendar year 2013 by

Ballweg, Joan

Legislature

Assembly District 41

HAND DELIVERED

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FOR EXPLANATIONS, EXAMPLES AND EXCEPTIONS SEE THE INSTRUCTIONS OR VISIT OUR WEBSITE AT <http://ethics.state.wi.us>.
Still have questions? For priority service send an e-mail to: GABEthics@wi.gov; otherwise leave a detailed message at (608) 261-2028.
ATTACH ADDITIONAL PAGES AS NEEDED/PLEASE SEE INSTRUCTIONS

Part A

As of December 31, 2013

1. INVESTMENTS

a) WISCONSIN DEFERRED COMPENSATION PROGRAM

If you held an investment in a fund available within the

Wisconsin Deferred Compensation Program, please place a checkmark next to each fund in which you held \$5,000 or more, whether held privately or through the Program.

Profile Series	\$5,000 to \$50,000	More than \$50,000	Small Cap	\$5,000 to \$50,000	More than \$50,000	Bond	\$5,000 to \$50,000	More than \$50,000
Vanguard Retirement 2055	☐	☐	BlackRock Russell 2000 Index	☐	☐	BlackRock US Debt Index	☐	☐
Vanguard Retirement 2045	☐	☐	DFA US Micro Cap	☐	☐	Federated US Government Securities 2-5yr	☐	☐
Vanguard Retirement 2035	☐	☐	Mid Cap			Vanguard Long-term Investment Grade Adm	☐	☐
Vanguard Retirement 2025	☐	☐	BlackRock Mid Cap Equity Index	☐	☐	Money Market		
Vanguard Retirement 2015	☐	☐	T Rowe Price Mid Cap Growth	☐	☐	Vanguard Admiral Treasury Money Market	☐	☐
Vanguard Target Retirement Income	☐	☒	Large Cap			Fixed		
			Calvert Social Investment Equity	☐	☐	Stable Value	☐	☐
International			Fidelity Contrafund	☐	☐	FDIC Bank Option	☐	☐
American Euro Pacific Growth	☐	☐	Vanguard Institutional Index Plus	☐	☐			
BlackRock EAFE Equity Index	☐	☐	Vanguard Wellington-Admiral Shares	☐	☐			

b) OTHER INVESTMENTS

List stocks, bonds, limited partnerships, Wisconsin governmental securities, and mutual and money market funds in which you and your family's interest was valued at \$5,000 or more.

MUTUAL OR MONEY MARKET FUND

(check one) \$5,000 to \$50,000 More than \$50,000

American Funds Euro Pacific Gr R6	☒	☐
American Funds Growth	☐	☒
Edward Jones	☒	☐
Growth Lifestage Fund	☐	☒
Guinness Atkinson China	☒	☐
Income Fund of America Inc.	☐	☒
Lord Abbett Affiliated Fund Inc.	☒	☐
Lord Abbett Bond Debenture	☒	☐
Lord Abbett Sec TR Intl Core equity Fund	☐	☒
Lord Abbett Stock Appreciation Fund CL A	☒	☐
Wasatch Small Cap Growth Fund	☒	☐
	☐	☐

STOCKS/OPTIONS/FUTURES

(check one) \$5,000 to \$50,000 More than \$50,000

3M Corporation ✓	☒	☐
Amgen Inc ✓	☒	☐
AT&T Inc. ✓	☒	☐
Ballweg Implement Co Inc. ✓	☐	☒
Baxter International Inc. ✓	☒	☐
BP PLC ADR	☒	☐
Century Link Inc	☒	☐
Chevron Corp. ✓	☒	☐
Deere & Company ✓	☒	☐
Edwards Lifesciences Corp	☒	☐
Exxon Mobil Corp ✓	☐	☒
General Electric Co ✓	☒	☐
Glatfelter	☒	☐
Intel Corporation ✓	☒	☐
Johnson & Johnson	☒	☐
Markesan BancShares Inc.	☐	☒
Medtronic Inc. ✓	☒	☐
Microsoft Corporation ✓	☒	☐
National Bancshares Waupun Inc ✓	☐	☒
Pepsico Inc ✓	☒	☐
Pfizer Inc. ✓	☒	☐
PrairieLand Leasing Ltd. ✓	☐	☒
Progressive Corp. ✓	☒	☐
United Parcel Services Inc. ✓	☒	☐
Walgreens ✓	☒	☐
	☐	☐
	☐	☐

BONDS

(check one) \$5,000 to \$50,000 More than \$50,000

	☐	☐
	☐	☐

LIMITED PARTNERSHIPS

(check one) \$5,000 to \$50,000 More than \$50,000

BALLWEG FAMILY LIMITED PARTNERSHIP	☐	☒
	☐	☐

WISCONSIN GOVERNMENTAL SECURITIES

(check one) \$5,000 to \$50,000 More than \$50,000

	☐	☐
	☐	☐

2. BUSINESS ACTIVITIES List businesses, farms, rental, commercial, and income-producing real estate; and business activities in which you or your family had at least a 10% or greater interest.

a) Enterprise(s) operating under a business or trade name, list here.

Name of business	Municipality or Town	County	State	Describe nature of business
Ballweg Implement Co Inc	Town of Chester	Dodge	WI	Agricultural and lawn equipment retail
Ballweg Properties LLC	Markesan	Green Lake	WI	Property Lease
Ballweg Properties LLC	Town of Chester	Dodge	WI	Property Lease
Ballweg Turf & Leisure	Beaver Dam	Dodge	WI	Lawn and Equipment Retail
PrairieLand Leasing Ltd.	Markesan	Green Lake	WI	Equipment rental and leasing

b) Enterprise(s) NOT operating under a business or trade name, list here.

Name of business	Municipality or Town	County	State	Describe nature of business

3. COMMERCIAL CUSTOMERS, CLIENTS, AND TENANTS For each unincorporated business, subchapter S corporation, service corporation (SC), limited liability company (LLC), partnership, or income-producing real estate reported in Item 2, from which the filer or a member of the filer's immediate family received \$10,000 or more in 2013, list businesses, organizations, and lobbyists that paid the enterprise \$10,000 or more in calendar year 2013.

Check if the organization authorized you to represent it in its dealings with others as an attorney-at-law, agent, spokesperson, or representative.

Businesses, organizations, lobbyists that were customers, clients, or tenants	City	State	"✓"
ADM Grain Ripon	Ripon	WI	☐
Al N Rae Farms Inc.	Ripon	WI	☐
B&B Dairy LLC	Waupun	WI	☐
Ballweg Implement Co Inc	Town of Chester	WI	☐
Ballweg Properties LLC	Markesan	WI	☐
Boelk Brothers Partnership	Oakfield	WI	☐
Bonduelle USA Inc	Fairwater	WI	☐
Brieco's LLC	New London	WI	☐
Butzlaff Farms	Kewaskum	WI	☐
C Katzman Farms LLC	Elkhorn	WI	☐
Caroline Implement Inc.	Marion	WI	☐
Clinton Farms	Bear Creek	WI	☐
CRP Customs LLC	Bonduel	WI	☐
D & K Farms	Little Suamico	WI	☐
Daane Dairy LLC	Brandon	WI	☐
Del Monte Co	Markesan	WI	☐
DHN Farms Partnership	Markesan	WI	☐
Double "S" Dairy	Markesan	WI	☐
Dunion Enterprises	Monona	WI	☐
Edgerton Contractors	Oak Creek	WI	☐
Elsinger Farms LLC	Lomira	WI	☐
Fenner Farms	Ripon	WI	☐
Formiller Farms	Berlin	WI	☐
Glodowski Family Farm LLC	Rosholt	WI	☐
H&H Repair	Inwood	IA	☐
Hilltop Dairy LLC	Markesan	WI	☐
Homeland Dairy	Brandon	WI	☐
Interstate Farm products, LLC	Topeka	KS	☐
Krentz Family Dair Inc.	Berlin	WI	☐
Lemmenes Custom Farms LLC	Waupun	WI	☐
Lepple Dairy Farms Inc.	Juneau	WI	☐
Lyco Manufacturing Inc	Columbus	WI	☐
Lyle Hull & Son Equipment LLC	Waupun	WI	☐
Mam Farms, LLC	Markesan	WI	☐
Manthey Farms LLC	Pardeville	WI	☐
Markesan Dist Schools	Markesan	WI	☐
Midway Equipment	Oconto Falls	WI	☐
Mike's Toys	Brussels	WI	☐
Minnehan Family Farm	Chorday	IA	☐
Muehlenhaupt Farms	Markesan	WI	☐
Oregon State Farm - DOC	Oregon	WI	☐

Owens Acres, LLC	Brandon	WI	☐
Peachy Farms	Waupun	WI	☐
Pinch Farms Inc.	Brandon	WI	☐
Prairie Rock Farms Inc.	Fond du Lac	WI	☐
Prairieland Leasing Ltd.	Markesan	WI	☐
R & M Motors	Berlin	WI	☐
Race Farms	Omro	WI	☐
Roder Farms	Sturgeon Bay	WI	☐
Rose Eld Farms	Rosendale	WI	☐
Runyard Grain Farms	Oconomowoc	WI	☐
Schaefer Enterprises	Columbus	WI	☐
Schmidt Family Farm LLC	Juneau	WI	☐
Schraufnager Farms LLC	Brownsville	WI	☐
Sherwood Farms Inc	Ripon	WI	☐
Sobieski Brothers	Berlin	WI	☐
Spergers Bona Vita Farms	Waupun	WI	☐
Spring Lake Farms	Neshkoro	WI	☐
Steinfest Farms LLC	Brillion	WI	☐
Stuebs Farms	Weyauwega	WI	☐
Trembling Prairie Farms Inc.	Markesan	WI	☐
Trillium Hill Farm Inc.	Berlin	WI	☐
UW Arlington Research Farm	Madison	WI	☐
Vellema Farms LLC	Waupun	WI	☐
Volm Farms	Kewaskum	WI	☐
Waupun State Farm (DOC)	Waupun	WI	☐
Young Acres	Berlin	WI	☐
Mercury Marine #10	Fond du Lac	WI	☐
Pine Drive Dairy LLP	Rosendale	WI	☐

4. BUSINESS PARTNERS For each enterprise reported under Item 2, list its co-owners, partners, officers, and directors (other than yourself), unless the information is already registered with the Wisconsin Department of Financial Institutions.

Business	Partners, or officers and directors	City	State

5. NON-COMMERCIAL REAL ESTATE List the specific location of WISCONSIN REAL ESTATE in which you or your family had an interest (except your principal residence and real estate whose location you already listed in item 2).

Location of property Street address or fire number	Municipality or town	County	Nature of interest (e.g. own, lease, option, easement, land contract)
131 W. Catherine Street	Markesan	Green Lake	own
145 W. Grand Street	Markesan	Green Lake	own
604 Halbert St	Green Lake	Green Lake	own
N2602 Hill Top Rd	Marquette	Green Lake	own
708 Bawala	WAUPUN	Dodge	own

* See Supplementary Page

6. OFFICERS AND DIRECTORS List organizations of which you or a family member was an officer or director (unless already listed in item 2).

Business or organization	City	State	Position
Ballweg Implement Co Inc	Markesan	WI	Officer
Ballweg Properties LLC	Markesan	WI	Member
Markesan State Bank	Markesan	WI	Director
PrairieLand Leasing Ltd.	Markesan	WI	Officer

7. AGENT, REPRESENTATIVE OR SPOKESPERSON List organizations that authorized you or a family member to represent them in their dealings with others as an attorney-at-law, agent, spokesperson, or representative (unless already listed in item 2, 3 or 6).

Business or organization	City	State
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8. CREDITORS List creditors to which you or your family owed \$5,000 or more. (check one)

Creditor	City	State	\$5,000 to \$50,000	More than \$50,000
Badgeland Financial	Fond du Lac	WI	☐	☒
BMO Harris Bank NA	West Allis	WI	☒	☐
John Deere and Company	Minneapolis	MN	☐	☒
John Deere Financial	Madison	WI	☐	☒
Markesan State Bank	Markesan	WI	☐	☒
Midwest Stihl Inc.	Hayward	WI	☒	☐
PrairieLand Leasing Ltd.	Markesan	WI	☐	☒
			☐	☐
			☐	☐

Part B For calendar year 2013

9. EMPLOYERS List your and your family's EMPLOYERS (\$1,000 or more of income) in 2013.

Name of employer (if State of Wisconsin, also identify agency or institution)	City	State	Nature of employer's business
Ballweg Implement Co Inc	Waupun	WI	Agricultural Equip. Sales
State of WI - Legislature	Madison	WI	State Government

10. ADDITIONAL SOURCES OF INCOME List other sources from which you or your family received income of \$1,000 or more in 2013.

Source of income	City	State
Markesan State Bank	Markesan	WI

11. ENTERTAINMENT AND GIFTS List individuals and organizations that provided you with entertainment or gifts (more than \$50) in 2013.

Name of provider

City

State

12. HONORARIA AND EXPENSES List, for 2013, sources of honoraria and payment of expenses related to your state government duties (more than \$50) not previously reported to the Government Accountability Board.

Payer	Approximate value of expenses	Amount of honorarium	Circumstances of receipt
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See Supplement Pg 1

FILING NOTES -or- COMMENTS

If we saw an opportunity to clarify a prior report, we added comments or filing tips below. Please review any comments and make the appropriate corrections.

CERTIFICATION

I have read the accompanying instructions and certify that the information contained in this Statement of Economic Interests is true, complete, and correct to the best of my knowledge, information, and belief. In the event this Statement of Economic Interests is filed prior to December 31st for an election in the following year, I certify that I will amend it on or before the statutory filing deadline if an amendment is necessary to bring it into conformity with the true statement of my economic interests as of December 31, 2013. If any part has been left blank, I have done so intentionally because there is nothing to report.


Signature of person filing

Daytime phone # (608) 266-8077

4-22-14

Date

Rep.Ballweg@legis.wisconsin.gov

E-mail address

The information sought in this form is required by §§19.43 and 19.44, *Wisconsin Statutes*. Failure to file a completed form may result in a forfeiture of up to \$500. Statements of Economic Interests are open for public inspection. The Government Accountability Board will notify you of the identity of any person who examines your Statement. In accordance with §15.04(1)(m), *Wisconsin Statutes*, the Government Accountability Board states that no personally identifiable information is likely to be used for purposes other than those for which it is collected.

Mail, email or fax to:

Wisconsin Government Accountability Board, P.O. Box 7984, Madison, WI 53707-7984;

Email: GABEthics@wi.gov; Fax: (608) 264-9319

Statement of Economic Interests for year 2013
Ballweg, Joan Legislature, Assembly District 41

Supplement Page 1 of 1

Part A 1. b) Stocks All \$5,000 - \$50,000

Alliant Corp.
Potash Corp of Saskatchewan
Verizon Communications
Zimmer Holdings Inc. Com
Xerox Corp.

Part B 12. Honoraria & Expenses

<u>Payer</u>	<u>Approx. Value</u>	<u>Circumstances</u>
Niagara Foundation	\$2,000	Turkish Cultural Exchange Trip
NCSL	\$750	Tri-Branch Conference on Foster Care
NCSL	\$5,000	Faculty for US State Dept. Leadership Training Mozambique National Government
Casey Family Foundation	\$500	Policy Advisory Team for Fostering Futures
Pathways to Prosperity Network	\$500	Conference on Youth Workforce Training

Part 3 Commercial Customers

Bruin's Dairy LLC Waupun, WI
E Bay
V Jay Potratz LLC Omro, WI

