

# Statement of Economic Interests

Filed in 2014 for calendar year 2013

Name: Rohrkaste, Michael (Mike) K

(last name, first name & initial)

State position: Representative to the Assembly, 55th Assembly District

(held or sought) (include agency, division, branch or district, if applicable)

FOR EXPLANATIONS, EXAMPLES AND EXCEPTIONS SEE THE INSTRUCTIONS OR VISIT OUR WEBSITE AT <http://gab.wi.gov>.  
Still have questions? For priority service send an e-mail to: [GABEthics@wisconsin.gov](mailto:GABEthics@wisconsin.gov); otherwise call (608) 261-2028.

**\*\*Attach additional pages as needed/Please See Instructions.\*\***

## Part A

As of December 31, 2013

### 1. INVESTMENTS.

a) **Funds Available in Wisconsin Deferred Compensation Program.** These funds are available to participants in the Wisconsin Deferred Compensation program and many of them are also available for direct purchase, independent of that program. If you held an investment of \$5,000 or more in any of these funds – either directly or through the program – please check the appropriate box.

Profile Series	"✓" one			"✓" one			"✓" one	
	\$5,000 to \$50,000	More than \$50,000		\$5,000 to \$50,000	More than \$50,000		\$5,000 to \$50,000	More than \$50,000
Vanguard Retirement 2055 Fund	<input type="checkbox"/>	<input type="checkbox"/>	Small Cap BlackRock Russell 2000 Index - T	<input type="checkbox"/>	<input type="checkbox"/>	Bond BlackRock US Debt Index Fund W	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Retirement 2045 Fund	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Retirement 2035 Fund	<input type="checkbox"/>	<input type="checkbox"/>	DFA US Micro Cap	<input type="checkbox"/>	<input type="checkbox"/>	Federated US Government Securities 2-5 Yr.	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Retirement 2025 Fund	<input type="checkbox"/>	<input type="checkbox"/>	Mid Cap BlackRock Mid Cap Equity Index - F	<input type="checkbox"/>	<input type="checkbox"/>	Vanguard Long-Term Investment Grade Adm	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Retirement 2015 Fund	<input type="checkbox"/>	<input type="checkbox"/>		T. Rowe Price Mid Cap Growth	<input type="checkbox"/>	<input type="checkbox"/>	Money Market Vanguard Admiral Treasury Money Market	<input type="checkbox"/>
Vanguard Target Retirement Income Fund	<input type="checkbox"/>	<input type="checkbox"/>	Large Cap Calvert Social Investment Equity I	<input type="checkbox"/>	<input type="checkbox"/>	Fixed Stable Value Fund	<input type="checkbox"/>	<input type="checkbox"/>
American Funds EuroPacific R6	<input type="checkbox"/>	<input type="checkbox"/>		Fidelity Contrafund	<input type="checkbox"/>	<input type="checkbox"/>	FDIC Bank Option	<input type="checkbox"/>
BlackRock EAFE Equity Index - T	<input type="checkbox"/>	<input type="checkbox"/>	Vanguard Institutional Index Fund Plus	<input type="checkbox"/>	<input type="checkbox"/>	Brokerage Schwab SDB Money Market	<input type="checkbox"/>	<input type="checkbox"/>
			Vanguard Wellington Fund - Admiral	<input type="checkbox"/>	<input type="checkbox"/>	Schwab SDB Securities	<input type="checkbox"/>	<input type="checkbox"/>

b) **Other Investments.** List stocks, bonds, limited partnerships, Wisconsin governmental securities, and mutual and money market funds in which you and your family's interest was valued at \$5,000 or more.

Name of security	Type of security - "✓" one					Amount - "✓" one	
	Stock/option/futures	Bond	Limited partnership	Wisconsin governmental security	Mutual or money market fund	\$5,000 to \$50,000	More than \$50,000
Oshkosh Corporation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Fidelity Conservative Income Fund	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Fidelity Cash Money Market	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
US Treasury Bills	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Fidelity Municipal Income Fund	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

2. **BUSINESS ACTIVITIES.** List businesses, farms, rental, commercial, and income-producing real estate; and business activities in which you or your family had at least a 10% or greater interest.

a) **Enterprise(s) operating under a business or trade name, list here.**

Name of business	Municipality or Town	County	State	Describe nature of business

b) **Enterprise(s) NOT operating under a business or trade name, list here.**

Street address or fire number	Municipality or Town	County	State	Describe nature of business

3. **COMMERCIAL CUSTOMERS, CLIENTS, AND TENANTS.** For each unincorporated business, subchapter S corporation, service corporation (SC), limited liability company (LLC), partnership, or income-producing real estate reported in Item 2, from which the filer or a member of the filer's immediate family received \$10,000 or more in 2013, list businesses, organizations, and lobbyists that paid the enterprise \$10,000 or more in calendar year 2013.

Check if the organization authorized you to represent it in its dealings with others as an attorney-at-law, agent, spokesperson, or representative.

Businesses, organizations, lobbyists that were customers, clients, or tenants	City	State	"√"
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>

4. **BUSINESS PARTNERS.** For each enterprise reported under Item 2, list its co-owners, partners, officers, and directors (other than yourself), unless the information is already registered with the Wisconsin Department of Financial Institutions.

Business	Partners, or officers and directors	City	State

5. **NON-COMMERCIAL REAL ESTATE.** List the specific location of WISCONSIN REAL ESTATE in which you or your family had an interest (except your principal residence and real estate whose location you listed in item 2).

LOCATION OF PROPERTY			NATURE OF INTEREST (own, lease, option, easement, land contract)
Street address or fire number	Municipality Or Town	County	

6. **OFFICERS AND DIRECTORS.** List organizations of which you or a family member was an officer or director (unless listed in Item #2.)

Business or organization	City	State	Position
YMCA Fox Cities	Appleton	WI	Board Member
Samaritans Counseling Center	Menasha	WI	Board Member

**7. AGENT, REPRESENTATIVE OR SPOKESPERSON.** List each organization that authorized you or a family member to represent it in its dealings with others as an attorney-at-law, agent, spokesperson, or representative (unless listed in item 2, 3, or 6.)

Business or organization	City	State

**8. CREDITORS.** List creditors to which you or your family owed \$5,000 or more.

Creditor	City	State	"✓" one	
			\$50,000 or less	More than \$50,000

**Part B** **For calendar year 2013**

**9. EMPLOYERS.** List your and your family's EMPLOYERS (\$1,000 or more of income) in 2013.

Name of employer (If State of Wisconsin, identify agency or institution)	City	State	Nature of employer's business
Oshkosh Corporation	Oshkosh	WI	Heavy duty vehicles and equipment
Calvary Bible Church	Neenah	WI	Ministry

**10. ADDITIONAL SOURCES OF INCOME.** List other sources from which you or your family received income of \$1,000 or more in 2013.

Source of income	City	State

**11. ENTERTAINMENT AND GIFTS.** List individuals and organizations that provided you with entertainment or gifts (more than \$50) in 2013.

Name of provider	City	State

**12. HONORARIA AND EXPENSES.** List, for 2013, sources of honoraria and payment of expenses related to your state government duties (more than \$50) not previously reported to the Government Accountability Board.

Payer	Approximate value of expenses	Amount of honorarium	Circumstances of receipt

I have read the accompanying instructions and certify that the information contained in this Statement of Economic Interests is true, complete, and correct to the best of my knowledge, information, and belief. In the event this Statement of Economic Interests is filed prior to December 31<sup>st</sup> for an election in the following year, I certify that I will amend it on or before the statutory filing deadline if an amendment is necessary to bring it into conformity with the true statement of my economic interests as of December 31, 2013. **If any part has been left blank, I have done so intentionally because there is nothing to report.**

Signature of person filing: Michael Rohrkaste      Date: 6/3/2014      Daytime phone #: 920-284-9507  
 E-mail address: mikerohrkaste@gmail.com

The information sought in this form is required by §§19.43 and 19.44, *Wisconsin Statutes*. Failure to file a completed form may result in a forfeiture of up to \$500. Statements of Economic Interests are open for public inspection. The Government Accountability Board will notify you of the identity of any person who examines your Statement. In accordance with §15.04(1)(m), *Wisconsin Statutes*, the Government Accountability Board states that no personally identifiable information is likely to be used for purposes other than those for which it is collected.

Statement of Economic Interests  
 Rohrkaste, Michael (Mike) K  
 Representative to the Assembly, 55<sup>th</sup> Assembly District

Name of Security	Type of Security	\$5000 to \$50,000	More than \$50,000
Fidelity GNMA	Mutual Fund		Yes
Fidelity Limited Term	Mutual Fund		Yes
Verizon VZ	Stock	Yes	
Conagra Foods CAG	Stock	Yes	
Bristol Myers Squibb BMY	Stock	Yes	
Applied Materials AMAT	Stock	Yes	
Bemis BMS	Stock	Yes	
Fidelity Capital and Income	Mutual Fund	Yes	
Fidelity Balanced	Mutual Fund	Yes	
Fidelity Four in One Index	Mutual Fund	Yes	
Fidelity Real Estate Income	Mutual Fund	Yes	
Microsoft MSFT	Stock	Yes	
Pepsico Inc PEP	Stock	Yes	
AT&T Inc Com T	Stock	Yes	
Sector SPDR TR SHS Ben XLI	Mutual Fund	Yes	
Fidelity MIP II CL 2	Mutual Fund		Yes
Fidelity Freedom k 2020 FFKDX		Yes	
Alzgi NFJ DIV VAL	Mutual Fund		Yes
PIMCO Total Return	Mutual Fund		Yes
Fidelity Contrafund	Mutual Fund	Yes	
Fidelity Diversified International	Mutual Fund	Yes	
Manning & Napier	Mutual Fund	Yes	
Weitz Intermediate	Mutual Fund	Yes	
Edvest Age Based	Mutual Fund	Yes	
Edvest Balanced	Mutual Fund		Yes
Edvest Large Cap	Mutual Fund	Yes	
Edvest Bond Index	Mutual Fund	Yes	

*Michael Rohrkaste 6/3/14*