

# Statement of Economic Interests

Filed in 2014 for calendar year 2013

Name: STEFFEN, DAVID J.  
(last name, first name & initial)

MAY 8 2014

State position: STATE ASSEMBLY DISTRICT - #4  
(held or sought) (include agency, division, branch or district, if applicable)

FOR EXPLANATIONS, EXAMPLES AND EXCEPTIONS SEE THE INSTRUCTIONS OR VISIT OUR WEBSITE AT <http://gab.wi.gov>.  
 Still have questions? For priority service send an e-mail to: [GABEthics@wisconsin.gov](mailto:GABEthics@wisconsin.gov); otherwise call (608) 261-2028.

**\*\*Attach additional pages as needed/Please See Instructions.\*\***

**Part A** Information current as of    
Insert nomination/appointment date here

JSIRS

**1. INVESTMENTS.**

**a) Funds Available in Wisconsin Deferred Compensation Program.** These funds are available to participants in the Wisconsin Deferred Compensation program and many of them are also available for direct purchase, independent of that program. If you held an investment of \$5,000 or more in any of these funds – either directly or through the program – please check the appropriate box.

Profile Series	"✓" one		Small Cap	"✓" one		Bond	"✓" one	
	\$5,000 to \$50,000	More than \$50,000		\$5,000 to \$50,000	More than \$50,000		\$5,000 to \$50,000	More than \$50,000
Vanguard Retirement 2055			BlackRock Russell 2000 Index			BlackRock US Debt Index - W		
Vanguard Retirement 2045			DFA US Micro Cap			Federated US Government Securities 2-5 Yr.		
Vanguard Retirement 2035			Mid Cap			Vanguard Long-Term Investment Grade Adm		
Vanguard Retirement 2025			BlackRock Mid Cap Equity Index			Money Market		
Vanguard Retirement 2015			T. Rowe Price Mid Cap Growth			Vanguard Admiral Treasury Money Market		
Vanguard Target Retirement Income			Large Cap			Fixed Returns for the Quarter		
International			Calvert Social Investment Equity			Stable Value Fund		
American Funds EuroPacific R6			Fidelity Contrafund			FDIC Bank Option		
BlackRock EAFE Equity Index			Vanguard Institutional Index Fund Plus			Brokerage		
			Vanguard Wellington - Admiral Shares			Schwab SDB Money Market		
						Schwab SDB Securities		

**b) Other Investments.** List stocks, bonds, limited partnerships, Wisconsin governmental securities, and mutual and money market funds in which you and your family's interest was valued at \$5,000 or more.

Name of security	Type of security - "✓" one					Amount - "✓" one	
	Stock/option/futures	Bond	Limited partnership	Wisconsin governmental security	Mutual or money market fund	\$5,000 to \$50,000	More than \$50,000
VOO							
BRK/B					✓		✓
VHGX					✓	✓	
VTE					✓	✓	
ELATX					✓	✓	
ADALX					✓	✓	
AMTX					✓	✓	
AGMX					✓	✓	
AMACX					✓	✓	

2. **BUSINESS ACTIVITIES.** List businesses, farms, rental, commercial, and income-producing real estate; and business activities in which you or your family had at least a 10% or greater interest.

a) Enterprise(s) operating under a business or trade name, list here.

Name of business	Municipality or Town	County	State	Describe nature of business
ISARS CONSULTING GROUP	HOWARD	BROWN	WI	BUSINESS MANAGING AGENCY
FARMERS BEST HOME DELIVERY LLC	"	"	WI	LOCALLY-FINANCED FOOD DISTRIBUTION

b) Enterprise(s) NOT operating under a business or trade name, list here.

Street address or fire number	Municipality or Town	County	State	Describe nature of business
N/A				

3. **COMMERCIAL CUSTOMERS, CLIENTS, AND TENANTS.** For each unincorporated business, subchapter S corporation, service corporation (SC), limited liability company (LLC), partnership, or income-producing real estate reported in Item 2 from which the filer or a member of the filer's immediate family received \$10,000 or more in 2013, list businesses, organizations, and lobbyists that paid the enterprise \$10,000 or more in calendar year 2013.

Check if the organization authorized you to represent it in its dealings with others as an attorney-at-law, agent, spokesperson, or representative.

Businesses, organizations, lobbyists that were customers, clients, or tenants	City	State	"✓"
EMPACKAGE & LOGISTICS LLC	NEW YORK	NY	✓

4. **BUSINESS PARTNERS.** For each enterprise reported under Item 2, list its co-owners, partners, officers, and directors (other than yourself), unless the information is already registered with the Wisconsin Department of Financial Institutions.

Business	Partners, or officers and directors	City	State
FARMERS BEST HOME DELIVERY LLC	TOM DOYLE	SUNNICO	WI
	JOHN THOMAS	HOWARD	WI
	KIM BERG	HOWARD	WI

5. **NON-COMMERCIAL REAL ESTATE.** List the specific location of WISCONSIN REAL ESTATE in which you or your family had an interest (except your principal residence and real estate whose location you listed in item 2).

LOCATION OF PROPERTY			NATURE OF INTEREST (own, lease, option, easement, land contract)
Street address or fire number	Municipality Or Town	County	
N/A			

6. **OFFICERS AND DIRECTORS.** List organizations of which you or a family member was an officer or director (unless listed in Item #2.)

Business or organization	City	State	Position
N/A			

**7. AGENT, REPRESENTATIVE OR SPOKESPERSON.** List each organization that authorized you or a family member to represent it in its dealings with others as an attorney-at-law, agent, spokesperson, or representative (unless listed in item 2, 3, or 6.)

Business or organization	City	State
N/A		

**8. CREDITORS.** List creditors to which you or your family owed \$5,000 or more.

Creditor	City	State	"✓" one	
			\$50,000 or less	More than \$50,000
PIONEER CREDIT UNION	GREEN BAY	WI		✓

**Part B**

For calendar year 2013

**9. EMPLOYERS.** List your and your family's EMPLOYERS (\$1,000 or more of income) in 2013.

Name of employer (If State of Wisconsin, identify agency or institution)	City	State	Nature of employer's business
VILLAGE OF HOWARD	GREEN BAY	WI	ELECTED OFFICIAL
BRAUN COUNTY	GREEN BAY	WI	ELECTED OFFICIAL
TAXPAYERS NETWORK INC.	GREEN BAY	WI	DIRECTOR OF OPERATIONS
OGENSAK REALTY	GREEN BAY	WI	REAL ESTATE (WIFE'S EMPLOYER)
ONEIDA COUNTRY CLUB	GREEN BAY	WI	ENTERTAINMENT (SON'S EMPLOYER)

**10. ADDITIONAL SOURCES OF INCOME.** List other sources from which you or your family received income of \$1,000 or more in 2013.

Source of income	City	State
N/A		

**11. ENTERTAINMENT AND GIFTS.** List individuals and organizations that provided you with entertainment or gifts (more than \$50) in 2013.

Name of provider	City	State
N/A		

**12. HONORARIA AND EXPENSES.** List, for 2013, sources of honoraria and payment of expenses related to your state government duties (more than \$50) not previously reported to the Government Accountability Board.

Payer	Approximate value of expenses	Amount of honorarium	Circumstances of receipt
N/A			

I certify that the information contained in this Statement of Economic Interests is true, complete, and correct to the best of my knowledge, information, and belief. In the event this Statement of Economic Interests is filed prior to my nomination or appointment, I certify that I will amend it within ten days of my nomination or appointment date if amendment is necessary to bring it into conformity with the true statement of my economic interests as of the date of my nomination or appointment. If any part has been left blank, I have done so intentionally because there is nothing to report.

Signature of person filing: [Signature] Date: 5/8/14 Daytime phone #: 920.296.0102 E-mail address: david.steffen04@yahoo.com

The information sought in this form is required by §§19.43 and 19.44, *Wisconsin Statutes*. Failure to file a completed form may result in a forfeiture of up to \$500. Statements of Economic Interests are open for public inspection. The Government Accountability Board will notify you of the identity of any person who examines your Statement. In accordance with §15.04(1)(m), *Wisconsin Statutes*, the Government Accountability Board states that no personally identifiable information is likely to be used for purposes other than those for which it is collected.