

Statement of Economic Interests

Filed in 2014 for calendar year 2013 by

Schultz, Dale W.

Legislature
Senate District 17

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GOVERNMENT
COUNCIL ON ETHICS BOARD

FOR EXPLANATIONS, EXAMPLES AND EXCEPTIONS SEE THE INSTRUCTIONS OR VISIT OUR WEBSITE AT <http://ethics.state.wi.us>.
Still have questions? For priority service send an e-mail to: GABEthics@wi.gov; otherwise leave a detailed message at (608) 261-2028.
ATTACH ADDITIONAL PAGES AS NEEDED/PLEASE SEE INSTRUCTIONS

Part A

As of December 31, 2013

1. INVESTMENTS

a) WISCONSIN DEFERRED COMPENSATION PROGRAM If you held an investment in a fund available within the Wisconsin Deferred Compensation Program, please place a checkmark next to each fund in which you held \$5,000 or more, whether held privately or through the Program. JA

Profile Series	\$5,000 to	More than	Small Cap	\$5,000 to	More than	Bond	\$5,000 to	More than
	\$50,000	\$50,000		\$50,000	\$50,000		\$50,000	\$50,000
Vanguard Retirement 2055	<input type="checkbox"/>	<input type="checkbox"/>	BlackRock Russell 2000 Index	<input checked="" type="checkbox"/>	<input type="checkbox"/>	BlackRock US Debt Index	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Retirement 2045	<input type="checkbox"/>	<input type="checkbox"/>	DFA US Micro Cap Portfolio	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Federated US Government Securities 2-5yr	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Retirement 2035	<input type="checkbox"/>	<input type="checkbox"/>	Mid Cap			Vanguard Long-term Investment Grade Adm	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Retirement 2025	<input type="checkbox"/>	<input type="checkbox"/>	BlackRock Mid Cap Equity Index	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
Vanguard Retirement 2015	<input type="checkbox"/>	<input type="checkbox"/>	T Rowe Price Mid Cap Growth	<input type="checkbox"/>	<input type="checkbox"/>	Money Market		
Vanguard Target Retirement Income	<input type="checkbox"/>	<input type="checkbox"/>	Large Cap			Vanguard Admiral Treasury Money Market	<input type="checkbox"/>	<input type="checkbox"/>
			Calvert Social Investment Equity	<input type="checkbox"/>	<input type="checkbox"/>	Fixed		
International			Fidelity Contrafund	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Stable Value	<input type="checkbox"/>	<input type="checkbox"/>
American Euro Pacific Growth	<input type="checkbox"/>	<input type="checkbox"/>	Vanguard Institutional Index Plus	<input checked="" type="checkbox"/>	<input type="checkbox"/>	FDIC Bank Option	<input type="checkbox"/>	<input type="checkbox"/>
BlackRock EAFE Equity Index	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Vanguard Wellington-Admiral Shares	<input type="checkbox"/>	<input type="checkbox"/>			

b) OTHER INVESTMENTS List stocks, bonds, limited partnerships, Wisconsin governmental securities, and mutual and money market funds in which you and your family's interest was valued at \$5,000 or more.

MUTUAL OR MONEY MARKET FUND

(check one) \$5,000 to \$50,000 More than \$50,000

	(check one)	\$5,000 to \$50,000	More than \$50,000
All VPS Intl Val	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Allbern Vps Grth&Inc	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Div Eq Inc Fund	<input checked="" type="checkbox"/>		<input type="checkbox"/>
FIO VIP GR&Inc CI 2	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Fixed Acc	<input checked="" type="checkbox"/>		<input type="checkbox"/>
FT VIP Real Estate FO	<input checked="" type="checkbox"/>		<input type="checkbox"/>
MFS VIT Inv Gr Stock	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Putnam Vt Intl Eq IB	<input checked="" type="checkbox"/>		<input type="checkbox"/>
RVS Cash Management Fund	<input checked="" type="checkbox"/>		<input type="checkbox"/>
RVS Equity Value Fund CI B	<input checked="" type="checkbox"/>		<input type="checkbox"/>
RVS Floating Rate Fund CI B	<input checked="" type="checkbox"/>		<input type="checkbox"/>
RVS Global Bond Fund	<input checked="" type="checkbox"/>		<input type="checkbox"/>
RVS High Yld Bond	<input checked="" type="checkbox"/>		<input type="checkbox"/>

RVS Large Cap Equity Fund CI B	<input checked="" type="checkbox"/>	<input type="checkbox"/>
RVS S&P 500 Index	<input checked="" type="checkbox"/>	<input type="checkbox"/>
RVS VP Balanced Fund	<input checked="" type="checkbox"/>	<input type="checkbox"/>
RVS VP Emerging Mkts.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
RVS VP Intl Oppt Fund	<input checked="" type="checkbox"/>	<input type="checkbox"/>
RVS VP Large Cap Equity	<input checked="" type="checkbox"/>	<input type="checkbox"/>
RVS VP Small Cap Adv	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Vn Kmpn VIF Glb R Est	<input checked="" type="checkbox"/>	<input type="checkbox"/>
VP Mid Cap Gr Fund	<input checked="" type="checkbox"/>	<input type="checkbox"/>
WFADV VT Small Cap Grow	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>

STOCKS/OPTIONS/FUTURES

(check one)	\$5,000 to \$50,000	More than \$50,000
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

BONDS

(check one)	\$5,000 to \$50,000	More than \$50,000
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

LIMITED PARTNERSHIPS

(check one)	\$5,000 to \$50,000	More than \$50,000
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

WISCONSIN GOVERNMENTAL SECURITIES

(check one)	\$5,000 to \$50,000	More than \$50,000
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2. BUSINESS ACTIVITIES List businesses, farms, rental, commercial, and income-producing real estate; and business activities in which you or your family had at least a 10% or greater interest.

a) Enterprise(s) operating under a business or trade name, list here.

Name of business	Municipality or Town	County	State	Describe nature of business
Ale Asylum	Madison	Dane	WI	Brewery/Tavern
DWS Real Estate Services	Richland Center	Richland	WI	Real estate
Schultz Family Farm	Town of Washington	Sauk	WI	Farming

b) Enterprise(s) NOT operating under a business or trade name, list here.

Name of business	Municipality or Town	County	State	Describe nature of business

3. COMMERCIAL CUSTOMERS, CLIENTS, AND TENANTS For each unincorporated business, subchapter S corporation, service corporation (SC), limited liability company (LLC), partnership, or income-producing real estate reported in Item 2, from which the filer or a member of the filer's immediate family received \$10,000 or more in 2013, list businesses, organizations, and lobbyists that paid the enterprise \$10,000 or more in calendar year 2013.

Check if the organization authorized you to represent it in its dealings with others as an attorney-at-law, agent, spokesperson, or representative.

Businesses, organizations, lobbyists that were customers, clients, or tenants	City	State	"✓"
Keller Williams Real Estate	Madison	WI	<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>

4. BUSINESS PARTNERS For each enterprise reported under Item 2, list its co-owners, partners, officers, and directors (other than yourself), unless the information is already registered with the Wisconsin Department of Financial Institutions.

Business	Partners, or officers and directors	City	State

5. NON-COMMERCIAL REAL ESTATE List the specific location of WISCONSIN REAL ESTATE in which you or your family had an interest (except your principal residence and real estate whose location you already listed in item 2).

Location of property Street address or fire number	Municipality or town	County	Nature of interest (e.g. own, lease, option, easement, land contract)

6. OFFICERS AND DIRECTORS List organizations of which you or a family member was an officer or director (unless already listed in item 2).

Business or organization	City	State	Position

7. AGENT, REPRESENTATIVE OR SPOKESPERSON List organizations that authorized you or a family member to represent them in their dealings with others as an attorney-at-law, agent, spokesperson, or representative (unless already listed in item 2, 3 or 6).

Business or organization	City	State

8. CREDITORS List creditors to which you or your family owed \$5,000 or more. (check one)

Creditor	City	State	\$5,000 to \$50,000	More than \$50,000
Community 1st Bank	Richland Center	WI	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Harris Bank	Dodgeville	WI	<input checked="" type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>

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9. EMPLOYERS List your and your family's EMPLOYERS (\$1,000 or more of income) in 2013.

Name of employer (if State of Wisconsin, also identify agency or institution)	City	State	Nature of employer's business
Richland School District	Richland Center	WI	education
Self Employed	Richland Center	WI	farming/real estate
State of WI - Legislature	Madison	WI	State Government

10. ADDITIONAL SOURCES OF INCOME List other sources from which you or your family received income of \$1,000 or more in 2013.

Source of income	City	State
Oak Hill Farms	Hillpoint	WI
Oxi Fresh of Dane County	Madison	WI

11. ENTERTAINMENT AND GIFTS List individuals and organizations that provided you with entertainment or gifts (more than \$50) in 2013.

Name of provider	City	State

12. HONORARIA AND EXPENSES List, for 2013, sources of honoraria and payment of expenses related to your state government duties (more than \$50) not previously reported to the Government Accountability Board.

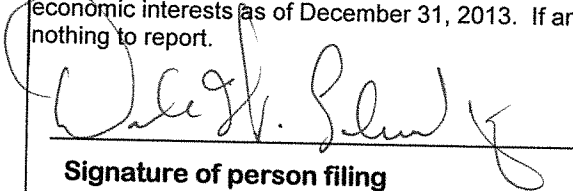
Payer	Approximate value of expenses	Amount of honorarium	Circumstances of receipt
Taipei Economic & Cultural Office - Chicago	\$2,000	0	midwest legislative cultural & economic exchange

FILING NOTES -or- COMMENTS

If we saw an opportunity to clarify a prior report, we added comments or filing tips below. Please review any comments and make the appropriate corrections.

CERTIFICATION

I have read the accompanying instructions and certify that the information contained in this Statement of Economic Interests is true, complete, and correct to the best of my knowledge, information, and belief. In the event this Statement of Economic Interests is filed prior to December 31st for an election in the following year, I certify that I will amend it on or before the statutory filing deadline if an amendment is necessary to bring it into conformity with the true statement of my economic interests as of December 31, 2013. If any part has been left blank, I have done so intentionally because there is nothing to report.


Signature of person filing

Daytime phone # (608) 266-0703

1/14/14

Sen.Schultz@legis.wisconsin.gov

Date

E-mail address

The information sought in this form is required by §§19.43 and 19.44, *Wisconsin Statutes*. Failure to file a completed form may result in a forfeiture of up to \$500. Statements of Economic Interests are open for public inspection. The Government Accountability Board will notify you of the identity of any person who examines your Statement. In accordance with §15.04(1)(m), *Wisconsin Statutes*, the Government Accountability Board states that no personally identifiable information is likely to be used for purposes other than those for which it is collected.

Mail, email or fax to:

Wisconsin Government Accountability Board, P.O. Box 7984, Madison, WI 53707-7984;

Email: GABEthics@wi.gov; Fax: (608) 264-9319

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