

# Statement of Economic Interests

Filed in 2014 for calendar year 2013 by

**Van Hollen, J.B.**

Justice, Department of  
Attorney General

FOR EXPLANATIONS, EXAMPLES AND EXCEPTIONS SEE THE INSTRUCTIONS OR VISIT OUR WEBSITE AT <http://ethics.state.wi.us>.  
Still have questions? For priority service send an e-mail to: [GABethics@wi.gov](mailto:GABethics@wi.gov); otherwise leave a detailed message at (608) 261-2028.  
ATTACH ADDITIONAL PAGES AS NEEDED/PLEASE SEE INSTRUCTIONS

**Part A**

**As of December 31, 2013**

**1. INVESTMENTS**

**a) WISCONSIN DEFERRED COMPENSATION PROGRAM** If you held an investment in a fund available within the Wisconsin Deferred Compensation Program, please place a checkmark next to each fund in which you held \$5,000 or more, whether held privately or through the Program.

Profile Series	More than \$5,000		Small Cap	More than \$5,000		Bond	More than \$5,000	
	\$5,000 to \$50,000	\$50,000		\$5,000 to \$50,000	\$50,000		\$5,000 to \$50,000	\$50,000
Vanguard Retirement 2055	<input type="checkbox"/>	<input type="checkbox"/>	BlackRock Russell 2000 Index	<input type="checkbox"/>	<input type="checkbox"/>	BlackRock US Debt Index	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Retirement 2045	<input type="checkbox"/>	<input type="checkbox"/>	DFA US Micro Cap Portfolio	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Federated US Government Securities 2-5yr	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Retirement 2035	<input type="checkbox"/>	<input type="checkbox"/>	<b>Mid Cap</b>			Vanguard Long-term Investment Grade Adm	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Retirement 2025	<input type="checkbox"/>	<input type="checkbox"/>	BlackRock Mid Cap Equity Index	<input type="checkbox"/>	<input type="checkbox"/>			
Vanguard Retirement 2015	<input type="checkbox"/>	<input type="checkbox"/>	T Rowe Price Mid Cap Growth	<input type="checkbox"/>	<input type="checkbox"/>	<b>Money Market</b>		
Vanguard Target Retirement Income	<input type="checkbox"/>	<input type="checkbox"/>	<b>Large Cap</b>			Vanguard Admiral Treasury Money Market	<input type="checkbox"/>	<input type="checkbox"/>
			Calvert Social Investment Equity	<input type="checkbox"/>	<input type="checkbox"/>	<b>Fixed</b>		
<b>International</b>			Fidelity Contrafund	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Stable Value	<input type="checkbox"/>	<input type="checkbox"/>
American Euro Pacific Growth	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Vanguard Institutional Index Plus	<input type="checkbox"/>	<input type="checkbox"/>	FDIC Bank Option	<input type="checkbox"/>	<input type="checkbox"/>
BlackRock EAFE Equity Index	<input type="checkbox"/>	<input type="checkbox"/>	Vanguard Wellington-Admiral Shares	<input type="checkbox"/>	<input type="checkbox"/>			

**b) OTHER INVESTMENTS** List stocks, bonds, limited partnerships, Wisconsin governmental securities, and mutual and money market funds in which you and your family's interest was valued at \$5,000 or more.

**MUTUAL OR MONEY MARKET FUND**

(check one) \$5,000 to \$50,000 More than \$50,000

	(check one)	\$5,000 to \$50,000	More than \$50,000
Federal Thrift Savings Plan	<input type="checkbox"/>		<input checked="" type="checkbox"/>
Franklin Income	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Mutual Shares - A	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Tamarack Investment Fund Money Market	<input type="checkbox"/>		<input checked="" type="checkbox"/>
Templeton Global Bond Fund	<input checked="" type="checkbox"/>		<input type="checkbox"/>
	<input type="checkbox"/>		<input type="checkbox"/>
	<input type="checkbox"/>		<input type="checkbox"/>

**STOCKS/OPTIONS/FUTURES**

(check one) \$5,000 to \$50,000 More than \$50,000

	(check one)	\$5,000 to \$50,000	More than \$50,000
AT&T	<input checked="" type="checkbox"/>		<input type="checkbox"/>
<del>Avon</del>	<del><input checked="" type="checkbox"/></del>		<input type="checkbox"/>
Bank of America	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Caterpillar Inc.	<input checked="" type="checkbox"/>		<input type="checkbox"/>
<del>Chesapeake Energy Corp</del>	<del><input checked="" type="checkbox"/></del>		<input type="checkbox"/>

<del>Cisco Systems</del>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Citi Group	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<del>Conoco Phillips</del> GMC CORP MASS	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Duke Energy	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<del>Expro-Scripte</del>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Exxon Mobil Corporation	<input checked="" type="checkbox"/>	<input type="checkbox"/>
General Electric Company	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Investment Company of America	<input checked="" type="checkbox"/>	<input type="checkbox"/>
JP Morgan Chase & Company	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<del>Medco Health Systems</del>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Microsoft Corporation	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Oracle Corporation	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Pepsico Corp.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<del>Phillips 66</del>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Proctor & Gamble	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Qualcomm Inc.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<del>TEVA Pharmaceuticals Indust</del>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Verizon	<input checked="" type="checkbox"/>	<input type="checkbox"/>
ULTRA PETROLEUM CORP	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>

<b>BONDS</b>	(check one)	\$5,000 to \$50,000	More than \$50,000
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>

<b>LIMITED PARTNERSHIPS</b>	(check one)	\$5,000 to \$50,000	More than \$50,000
aOva Technologies Inc.		<input type="checkbox"/>	<input checked="" type="checkbox"/>
Biodiesel Systems		<input type="checkbox"/>	<input checked="" type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>

<b>WISCONSIN GOVERNMENTAL SECURITIES</b>	(check one)	\$5,000 to \$50,000	More than \$50,000
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>

**2. BUSINESS ACTIVITIES** List businesses, farms, rental, commercial, and income-producing real estate; and business activities in which you or your family had at least a 10% or greater interest.

a) Enterprise(s) operating under a business or trade name, list here.

Name of business	Municipality or Town	County	State	Describe nature of business
Deep Lake Inc. #2	Iron River	Bayfield	WI	Real estate development
Deep Lake Inc. #3	Iron River	Bayfield	WI	Real estate development
Van Hollen Law Offices	Waunakee	Dane	WI	Law

b) Enterprise(s) NOT operating under a business or trade name, list here.

Name of business	Municipality or Town	County	State	Describe nature of business

**3. COMMERCIAL CUSTOMERS, CLIENTS, AND TENANTS** For each unincorporated business, subchapter S corporation, service corporation (SC), limited liability company (LLC), partnership, or income-producing real estate reported in Item 2, from which the filer or a member of the filer's immediate family received \$10,000 or more in 2013, list businesses, organizations, and lobbyists that paid the enterprise \$10,000 or more in calendar year 2013.

Check if the organization authorized you to represent it in its dealings with others as an attorney-at-law, agent, spokesperson, or representative.

Businesses, organizations, lobbyists that were customers, clients, or tenants	City	State	"✓"
			<input type="checkbox"/>
			<input type="checkbox"/>

**4. BUSINESS PARTNERS** For each enterprise reported under Item 2, list its co-owners, partners, officers, and directors (other than yourself), unless the information is already registered with the Wisconsin Department of Financial Institutions.

Business	Partners, or officers and directors	City	State
Deep Lake Inc. #2	John C. Van Hollen	Iron River	WI
Deep Lake Inc. #3	John C. Van Hollen, Peggy M. Kman	Iron River	WI
Van Hollen Law Offices	Lynne K. Van Hollen	Waunakee	WI

**5. NON-COMMERCIAL REAL ESTATE** List the specific location of WISCONSIN REAL ESTATE in which you or your family had an interest (except your principal residence and real estate whose location you already listed in item 2).

Location of property Street address or fire number	Municipality or town	County	Nature of interest (e.g. own, lease, option, easement, land contract)
Deep Lake Inc #2: Chippewa River Lots = Lot 12 of CSM 9/13 and Lot 9 of CSM 10/64 in Gov. Lot 6, Sect 25, Twnshp 37, Rng 7	Weirgor	Sawyer	own
Deep Lake Inc #2: Delta = Part of the SW, NE, E of Cty Hwy H in Sect 7, Twnshp 46, Rng 7	Delta	Bayfield	own
Deep Lake Inc #2: Iron River S. of US Hwy 2 = NW, SE lying southerly of southerly ROW of US Hwy 2 & SW, SE less S1/2 in V.771 P.707 in Sect 13, Twnshp 47, Rng 8	Iron River	Bayfield	own
Deep Lake Inc #2: Keystone = E1/2, W1/2, SE Sect 33, Twnshp 47, Rng 6	Keystone	Bayfield	own
Deep Lake Inc #2: Lakeside = NW, NE, Sect 6, Twnshp 48, Rng 11	Lakeside	Douglas	own
Deep Lake Inc #2: Pilsen = NW, SE, W. of Northern Pacific RR in Sect 16, Twnshp 47, Rng 6	Pilsen	Bayfield	own
Deep Lake Inc #2: Rusk County 40A = NW, SW, Sect 25, Twnshp 34, Rng 9	Strickland	Rusk	own
Deep Lake Inc #3: Twin Bear Lake - Parcel in NW, NE on V.805 P.270	Delta	Bayfield	own
Lot 2, CSM#1193, vol 7 of CSM, pg 260 in Sect 23, Twnshp 47N, Rng 9 W	Hughes	Bayfield	own
Lots 12, 13, 14, Bayfield County Plat of Ellenwood	Iron River	Bayfield	own
N1/2, SW1/4, Vol 350, Page 51 Less CSM vol. 5, Page 64, Sect 7, Twnshp 46N, Rng 7W	Delta	Bayfield	own
NE, SE in Vol. 884, Pg.426 less parcel in vol...	Delta	Bayfield	own
NW, SW, less V350, pg 51, Sect 7, Twnshp 46N, Rng 7W	Delta	Bayfield	own
Part NW, SE lying west of the channel in volume 451, pg 75 in Sect 7, Twnshp 47N, Rng 7W	Delta	Bayfield	own
SE, SW less parcel east of the lake in vol. 474, Pg 97 and less CSM#000741 & 000742, Sect 7, Twnshp 46N, Rng 7W	Delta	Bayfield	own
SW, NW less parcel in Vol. 884, Pg 424 in Sect 14, Twnshp 46N, Rng 7W	Delta	Bayfield	own
<i>NE NE S of the West Fork of White</i>			
<i>River in V. 980 P. 876 805 Delta Bayfield own</i>			

**6. OFFICERS AND DIRECTORS** List organizations of which you or a family member was an officer or director (unless already listed in item 2).

Business or organization	City	State	Position

*Plats 1-12 Prt NE-NE, Sec. 12, T 33 N,  
R 11 W*

*Prairie Lake*

*Barwon*

*own*

**7. AGENT, REPRESENTATIVE OR SPOKESPERSON** List organizations that authorized you or a family member to represent them in their dealings with others as an attorney-at-law, agent, spokesperson, or representative (unless already listed in item 2, 3 or 6).

Business or organization	City	State

**8. CREDITORS** List creditors to which you or your family owed \$5,000 or more. (check one)

Creditor	City	State	\$5,000 to \$50,000	More than \$50,000
<del>Chase</del>	<del>?</del>	<del>?</del>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
John C. Van Hollen	Iron River	WI	<input type="checkbox"/>	<input checked="" type="checkbox"/>
RBC	?	?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Wells Fargo	San Francisco	CA	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>

**Part B** For calendar year 2013

**9. EMPLOYERS** List your and your family's EMPLOYERS (\$1,000 or more of income) in 2013.

Name of employer (if State of Wisconsin, also identify agency or institution)	City	State	Nature of employer's business
Blackhawk Tech	Janesville	WI	education
<del>Herzing University</del>	<del>Milwaukee</del>	<del>WI</del>	<del>education</del>
State of WI - Department of Justice	Madison	WI	State Government

**10. ADDITIONAL SOURCES OF INCOME** List other sources from which you or your family received income of \$1,000 or more in 2013.

Source of income	City	State
Deep Lake #3	Iron River	WI
RBC Capital Markets	New York	NY

**11. ENTERTAINMENT AND GIFTS** List individuals and organizations that provided you with entertainment or gifts (more than \$50) in 2013.

Name of provider	City	State

**12. HONORARIA AND EXPENSES** List, for 2013, sources of honoraria and payment of expenses related to your state government duties (more than \$50) not previously reported to the Government Accountability Board.

Payer	Approximate value of expenses	Amount of honorarium	Circumstances of receipt
GOVERNMENT OF TURKEY	5,500		NAAAb related travel
GOVERNMENT OF TAIWAN	9,000		NAAAb related travel
PUBLIC WELFARE FOUNDATION	662		PRE-TRIAL REFORM PANEL

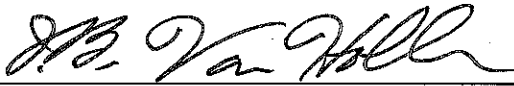
## FILING NOTES -or- COMMENTS

If we saw an opportunity to clarify a prior report, we added comments or filing tips below. Please review any comments and make the appropriate corrections.

In Item 8, list each creditor only once, include the city and state, and check the category indicating whether the total amount owed is \$50,000 or less or more than \$50,000.

## CERTIFICATION

I have read the accompanying instructions and certify that the information contained in this Statement of Economic Interests is true, complete, and correct to the best of my knowledge, information, and belief. In the event this Statement of Economic Interests is filed prior to December 31st for an election in the following year, I certify that I will amend it on or before the statutory filing deadline if an amendment is necessary to bring it into conformity with the true statement of my economic interests as of December 31, 2013. If any part has been left blank, I have done so intentionally because there is nothing to report.



Daytime phone # (608) 266-1221

4-30-14

vanhollenjb@doj.state.wi.us

Signature of person filing

Date

E-mail address

The information sought in this form is required by §§19.43 and 19.44, *Wisconsin Statutes*. Failure to file a completed form may result in a forfeiture of up to \$500. Statements of Economic Interests are open for public inspection. The Government Accountability Board will notify you of the identity of any person who examines your Statement. In accordance with §15.04(1)(m), *Wisconsin Statutes*, the Government Accountability Board states that no personally identifiable information is likely to be used for purposes other than those for which it is collected.

Mail, email or fax to:

Wisconsin Government Accountability Board, P.O. Box 7984, Madison, WI 53707-7984;

Email: GABEthics@wi.gov; Fax: (608) 264-9319

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